

**Section A: Employer Information**

**Enrollment Application**

Company/Employer Name   New Enrollment  
 Contribution Change  
 Contract/Account No.  Affiliate No.  Division No.

**Section B: Participant Information**

Social Security No.  Date of Birth (MM-DD-YYYY)   
 First Name/Middle Initial  Last Name   
 Mailing Address  State  Zip code   
 City  E-mail   
 Phone No./Ext.  Date of Hire (MM-DD-YYYY)   
 Marital Status  Married  Single/Divorced Gender  Male  Female

**Section C: Contributions (By law, any election will not be effective until the following month, except if completed on the first day of employment or earlier.)**

- I elect to reduce my eligible compensation by \$\_\_\_\_\_, each pay period as a pre-tax salary deferral contribution.
- Note: You may apply the age 50 catch-up or the last three taxable years catch-up for any given calendar year.*
- For employees who have attained age 50 (or will attain age 50 this calendar year)
  - I elect to reduce my eligible compensation, in equal amounts each pay period as indicated below:
    - \$ \_\_\_\_\_ as a pre-tax salary deferral contribution.
- I am in the last three taxable years ending before the year of my normal retirement age (as defined in the plan) and have underutilized past contributions while eligible. I elect to make additional contributions in accordance with the Special 457(b) Catch-up provision. The catch-up contribution will not exceed the lesser of my underutilized limit or twice the dollar amount of the 457(b) limit allowed for the year that I elect to contribute the catch-up contribution. I elect to make a catch-up contribution:
  - as a pretax salary deferral contribution, for the taxable year \_\_\_\_\_ of \$\_\_\_\_\_.
- The above election(s) is effective with the payroll period beginning \_\_\_\_\_ (may not be retroactive).
- I elect not to make contributions to this plan.
- Contact me to help me consolidate another retirement plan (401K, 403B, IRA, etc) into my new Transamerica account.

**Section D: Investment Allocation**

**Create Your Own Portfolio-** Please allocate contributions to the following investment options in the percentages noted below (total must equal 100%)

Choose a Portfolio			Create a Portfolio		
Z702	American Funds 2010 Trgt Date Retire R6	<input type="text"/> %	VUSX	Vanguard Treasury Money Market Investor	<input type="text"/> %
Z703	American Funds 2015 Trgt Date Retire R6	<input type="text"/> %	GMS5	TFLIC Managed Stability - Stable 5	<input type="text"/> %
Z704	American Funds 2020 Trgt Date Retire R6	<input type="text"/> %	VFSU	Vanguard Short Term Investment-Grade	<input type="text"/> %
Z705	American Funds 2025 Trgt Date Retire R6	<input type="text"/> %	Y2G2	Loomis Sayles Core Plus Bond N	<input type="text"/> %
Z706	American Funds 2030 Trgt Date Retire R6	<input type="text"/> %	VAIP	Vanguard Inflation-Protected Secs Adm	<input type="text"/> %
Z707	American Funds 2035 Trgt Date Retire R6	<input type="text"/> %	VWEA	Vanguard High-Yield Corporate Adm	<input type="text"/> %
Z708	American Funds 2040 Trgt Date Retire R6	<input type="text"/> %	S779	American Funds American Mutual R6	<input type="text"/> %
Z709	American Funds 2045 Trgt Date Retire R6	<input type="text"/> %	Y5L6	Fidelity 500 Index	<input type="text"/> %
Z710	American Funds 2050 Trgt Date Retire R6	<input type="text"/> %	Z282	JP Morgan Large Cap Growth R6	<input type="text"/> %
Z711	American Funds 2055 Trgt Date Retire R6	<input type="text"/> %	Y5LE	Fidelity Mid Cap Index	<input type="text"/> %
Y342	American Funds 2060 Trgt Date Retire R6	<input type="text"/> %	Y5LF	Fidelity Small Cap Index	<input type="text"/> %
Y6AY	American Funds 2065 Trgt Date Retire R6	<input type="text"/> %	Y5G7	MFS International Diversification R6	<input type="text"/> %

**Section E: Signatures**

I understand that any catch-up contributions elected above are not determined to be catch-up contributions until my regular pre-tax salary deferral contributions exceed an applicable limit under the plan, and that the amount of my salary reduction above may not exceed the limits of contributions set forth in my employer's plan.

Transamerica Investors Securities Corporation (TISC), 440 Mamaroneck Avenue, Harrison, NY 10528, distributes securities products. Any registered fund offered under the plan is distributed by that particular fund's associated fund family and its affiliated broker-dealer or other broker-dealers with effective selling agreements such as TISC.

I acknowledge that investment option information, including prospectuses, disclosure documents, and/or fund profile sheets, as applicable have been made available to me and I understand the risks of investing.

The Transamerica funds are distributed by Transamerica Capital, Inc. (TCI) and are advised by Transamerica Asset Management (TAM). Transamerica, TISC, TAM, and TCI are affiliated companies. I understand that the fixed interest option(s) are available under group annuity contract(s) issued by Transamerica Financial Life Insurance Company ("TFLIC") and that the mutual fund options are subject to a Custodial Agreement with State Street Bank and Trust Company ("SSBT"). I understand that the group annuity contracts are legally separate arrangements from the Custodial Agreement. SSBT has no control over or responsibility for the group annuity contracts. I understand that an annual administrative fee, a withdrawal charge, and transfer restrictions may apply. The Transamerica investment options are available under a group variable annuity contract issued by Transamerica Financial Life insurance Company ("TFLIC"), which is offered through Transamerica Investors Securities Corporation, 440 Mamaroneck Avenue, Harrison, NY 10528. I understand that an annual administrative fee, a withdrawal charge, and transfer restrictions may apply. The Stable Pooled Fund is offered through Transamerica Retirement Solutions Collective Trust and invests directly in the Wells Fargo Stable Return Fund which is a collective trust fund of Wells Fargo.

I agree to the terms of the plan. I am aware that amounts deferred under this type of plan are included in my employer's general assets. I understand that I may change the amount of my salary reduction, or terminate this agreement, by giving notice according to the terms of the plan. I understand that upon termination of my employment, my account will be distributed according to my election and according to the terms of the plan.

**X**

Participant Signature

Date

## BENEFICIARY DESIGNATION FORM

### Is this form for me?

To designate a beneficiary or to change your existing beneficiary designation on your plan

- complete all applicable sections of this form
- obtain any required signatures
- return the form to [employeebenefits@mctx.org](mailto:employeebenefits@mctx.org) Montgomery County Risk Management Department 501 N. Thompson, Suite 202, Conroe, TX 77301. Phone: 936-730-6935 Fax: 936-538-8169


If you have questions about the completion of this form, please call us at 800-755-5801.

### Important Information About This Form

**BE SURE TO OBTAIN ALL REQUIRED SIGNATURES BEFORE RETURNING THIS FORM TO ENSURE TIMELY PROCESSING OF YOUR REQUEST.**

#### Before returning this form, make sure:

- You've signed and dated applicable pages as indicated
- You've completed all relevant sections
- All pages are included when you submit your completed form



Missing or inaccurate information may increase the time it takes us to process your request.

## BENEFICIARY DESIGNATION FORM

Montgomery County Deferred Compensation Plan  
PE61346 00001

### About Me

<input type="text"/>	<input type="text"/>
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FIRST NAME

LAST NAME

STREET ADDRESS

CITY

STATE

ZIP CODE

EMAIL

SSN

PHONE

DOB (MM/DD/YYYY)

**IMPORTANT!**  
Please complete all blank fields.

## Primary Beneficiary Designation - Will receive benefits in the event of your death

This designation will apply to the account number above. You must designate a specific percentage for each beneficiary. Shares must be whole percentages and total 100%. If you do not indicate shares, benefits will be split equally among surviving beneficiaries. If the named beneficiary is a trust, please specify the name and date of the trust under Entity Name and also provide the name of the Trustee.

**Note: Share of benefits must total 100% for primary beneficiaries. If additional space is needed to designate multiple beneficiaries, complete the Supplemental Beneficiary Designation page.**

Type of Beneficiary Designation [  ] Individual [  ] Entity

Share of Benefits	<input type="text"/>	% (whole percentages only)	Relationship	<input type="text"/>
Social Security No.	<input type="text"/>	Date of Birth (mm/dd/yyyy)	<input type="text"/>	
First Name/Middle Initial	<input type="text"/>	Last Name	<input type="text"/>	
Entity Name	<input type="text"/>			
Trustee/Executor	<input type="text"/>			
Entity Tax ID	<input type="text"/>	Effective Date	<input type="text"/>	
Mailing Address	<input type="text"/>			
City	<input type="text"/>	State	<input type="text"/>	
		Zip Code	<input type="text"/>	

## Primary Beneficiary Designation (continued)

Type of Beneficiary Designation [  ] Individual [  ] Entity

Share of Benefits	<input type="text"/>	% (whole percentages only)	Relationship	<input type="text"/>
Social Security No.	<input type="text"/>	Date of Birth (mm/dd/yyyy)	<input type="text"/>	
First Name/Middle Initial	<input type="text"/>	Last Name	<input type="text"/>	
Entity Name	<input type="text"/>			
Trustee/Executor	<input type="text"/>			
Entity Tax ID	<input type="text"/>	Effective Date	<input type="text"/>	
Mailing Address	<input type="text"/>			
City	<input type="text"/>	State	<input type="text"/>	
		Zip Code	<input type="text"/>	

## Contingent Beneficiary - Will receive benefits if no primary beneficiary is living at the time of your death

**Note: Share of benefits must total 100% for contingent beneficiaries. If additional space is needed to designate multiple beneficiaries, complete the Supplemental Beneficiary Designation page.**

Type of Beneficiary Designation     Individual     Entity

Share of Benefits  % (whole percentages only)

Relationship

Social Security No.

Date of Birth  
(mm/dd/yyyy)

First Name/Middle Initial

Last Name

Entity Name

Trustee/Executor

Entity Tax ID

Effective Date

Mailing Address

City

State

Zip Code

## Contingent Beneficiary Designation (continued)

Type of Beneficiary Designation     Individual     Entity

Share of Benefits  % (whole percentages only)

Relationship

Social Security No.

Date of Birth  
(mm/dd/yyyy)

First Name/Middle Initial

Last Name

Entity Name

Trustee/Executor

Entity Tax ID

Effective Date

Mailing Address

City

State

Zip Code

## Signature & Agreement

I hereby warrant that all of the statements and information contained in this request/form are true in all respects. I understand that if I have made any false or misleading statements in this request that such statements could result in significant tax consequences and/or other monetary damages to the Plan, my Plan Sponsor and Transamerica. Moreover, I hereby agree to indemnify and hold (a) the Plan, (b) Transamerica, and (c) my Plan Sponsor harmless from any tax consequences and/or other monetary damages that may result in whole or in part from my false and misleading statements I certify that the information provided on this form is correct and complete.

\_\_\_\_\_  
YOUR PRINTED NAME

\_\_\_\_\_  
YOUR SIGNATURE

\_\_\_\_\_  
DATE SIGNED (MM/DD/YYYY)

## Plan Sponsor Signature

I certify that the information provided on this form is correct and complete, and that any required consents and waivers have been obtained.

\_\_\_\_\_  
PLAN SPONSOR SIGNATURE

\_\_\_\_\_  
DATE SIGNED (MM/DD/YYYY)

# SUPPLEMENTAL BENEFICIARY DESIGNATIONS

Social Security No.

First Name/Middle Initial

Last Name

**Note: Share of benefits must total 100% for primary beneficiaries (will receive benefits in the event of your death) AND 100% for contingent beneficiaries (will receive benefits if no primary beneficiary is living at the time of your death).**

Primary Beneficiary     Contingent Beneficiary

Type of Beneficiary Designation     Individual     Entity

Share of Benefits

% (whole percentages only)

Relationship

Social Security No.

Date of Birth

(mm/dd/yyyy)

First Name/Middle Initial

Last Name

Entity Name

Trustee/Executor

Entity Tax ID

Effective Date

Mailing Address

City

State

Zip Code

Primary Beneficiary     Contingent Beneficiary

Type of Beneficiary Designation     Individual     Entity

Share of Benefits

% (whole percentages only)

Relationship

Social Security No.

Date of Birth

(mm/dd/yyyy)

First Name/Middle Initial

Last Name

Entity Name

Trustee/Executor

Entity Tax ID

Effective Date

Mailing Address

City

State

Zip Code